

Please type a plus (+) inside this box +

PTO/SB/05 (03-01)

Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

|  |   |               |                    |     |
|--|---|---------------|--------------------|-----|
| <br><b>UTILITY<br/>PATENT APPLICATION<br/>TRANSMITTAL</b><br><i>(Only for new nonprovisional applications under 37 CFR 1.53(b))</i> | <i>Attorney Docket No.</i>                            | 11183-010-999 | <i>Total Pages</i> | 185 |
|  | <i>First Named Inventor or Application Identifier</i> |               |                    |     |
|  | Koenig et al.   |               |                    |     |
|  | <i>Express Mail Label No.</i>                         |               |                    |     |

|   |  |  |  |   |
|---|--|--|--|---|
| <b>APPLICATION ELEMENTS</b><br><i>See MPEP chapters 600 concerning utility patent application contents.</i>   |  | <i>Address to:</i>   | Assistant Commissioner for Patents<br>Box Patent Application<br>Washington, DC 20231 |   |
| <p>1. <input checked="" type="checkbox"/> Fee Transmittal Form<br/><i>(Submit an original, and a duplicate for fee processing)</i></p> <p>2. <input checked="" type="checkbox"/> Applicant claims Small Entity status, see 37 C.F.R. § 1.27</p> <p>3. <input checked="" type="checkbox"/> Specification [Total Pages 165]<br/><i>(preferred arrangement set forth below, MPEP 1503.01)</i></p> <ul style="list-style-type: none"> <li>- Descriptive title of the Invention</li> <li>- Cross Reference to Related Applications</li> <li>- Statement Regarding Fed sponsored R&amp;D</li> <li>- Reference to Microfiche Appendix</li> <li>- Background of the Invention</li> <li>- Brief Summary of the Invention</li> <li>- Brief Description of the Drawings (if filed)</li> <li>- Detailed Description of the Invention (including drawings, if filed)</li> <li>- Claim(s)</li> <li>- Abstract of the Disclosure</li> </ul> <p>4. <input checked="" type="checkbox"/> Drawing(s) (35 USC 1.13) [Total Sheets 18]</p> <p>5. <input checked="" type="checkbox"/> Oath or Declaration, unexecuted [Total Sheets 2]</p> <ul style="list-style-type: none"> <li>a. <input type="checkbox"/> Newly executed (original or copy)</li> <li>b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d))<br/><i>(for continuation/divisional with Box 18 completed)</i></li> <li>i. <input type="checkbox"/> DELETION OF INVENTOR(S)<br/>Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</li> </ul> <p>6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76</p> |  | <p>7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)</p> <p>8. <input checked="" type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission<br/><i>(if applicable, all necessary)</i> <ul style="list-style-type: none"> <li>a. <input checked="" type="checkbox"/> Computer Readable Form (CRF)</li> <li>b. <input checked="" type="checkbox"/> Specification Sequence Listing on:           <ul style="list-style-type: none"> <li>i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or</li> <li>ii. <input checked="" type="checkbox"/> paper</li> </ul> </li> <li>c. <input checked="" type="checkbox"/> Statement verifying identity of above copies</li> </ul> </p> |  |   |
| <b>ACCOMPANYING APPLICATION PARTS</b>   |  |  |  |  |
| <p>9. <input type="checkbox"/> Assignment Papers (cover sheet &amp; document(s))</p> <p>10. <input type="checkbox"/> 37 CFR 3.73(b) Statement<br/><i>(when there is an assignee)</i></p> <p>11. <input type="checkbox"/> English Translation Document (if applicable)</p> <p>12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449</p> <p>13. <input type="checkbox"/> Preliminary Amendment</p> <p>14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503)<br/><i>(Should be specifically itemized)</i></p> <p>15. <input type="checkbox"/> Certified Copy of Priority Document(s)<br/><i>(if foreign priority is claimed)</i></p> <p>16. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 (b)(2)(i). Applicant must attach form PTO/SB/35 or its equivalent</p> <p>17. <input type="checkbox"/> Other:</p>   |  |  |  |  |

18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation     Divisional     Continuation-in-part (CIP) | of prior application No.: filed

Prior application information: Examiner: Group Art Unit:

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

#### 19. CORRESPONDENCE ADDRESS

|  |                  |                 |  |            |
|--|------------------|-----------------|--|------------|
| <input checked="" type="checkbox"/> Customer Number      |                  | 20583           | or <input type="checkbox"/> Correspondence address below |            |
| <i>Insert Customer No. or Attach bar code label here</i> |                  |                 |  |            |
| <i>NAME</i>  |                  |                 |  |            |
|  |                  |                 |  |            |
| <i>ADDRESS</i>   |                  |                 |  |            |
|  |                  |                 |  |            |
| <i>CITY</i>  | <i>STATE</i>     | <i>ZIP CODE</i> |  |            |
| <i>COUNTRY</i>   | <i>TELEPHONE</i> |                 |  | <i>FAX</i> |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

NY2: 1456653.1

Express Mail No. EV 335 856 560 US  
**PENNIE & EDMONDS LLP**  
 COUNSELORS AT LAW  
 1155 Avenue of the Americas  
 New York, New York 10036-2711

ATTORNEY DOCKET NO. 11183-010-999

Date: August 18, 2003

Commissioner for Patents  
 P.O. Box 1450  
 Mail Stop PATENT APPLICATION  
 Alexandria, VA 22313-1450

Sir:

The following utility patent application is enclosed for filing:

Applicant(s): Koenig et al. Executed on: April 18, 2003  
 Title of Invention: FcγRIIB-SPECIFIC ANTIBODIES AND METHODS OF USE THEREOF

**PATENT APPLICATION FEE VALUE**

| TYPE         | NO. FILED | LESS | EXTRA   | EXTRA RATE       | FEE     |
|--------------|-----------|------|---|------------------|---------|
| Total Claims | 107       | - 20 | 87  | \$18.00 each     | \$ 1566 |
| Independent  | 27        | - 3  | 24  | \$84.00 each     | \$ 2016 |
|              |           |      | Minimum Fee   |                  | \$ 750  |
|              |           |      | Multiple Dependency Fee   |                  | \$ 0    |
|              |           |      | If Applicable (\$280.00)  |                  |         |
|              |           |      |   | Total            | \$ 4332 |
|              |           |      | Applicant qualifies for the 50% Reduction for Independent Inventor,<br>Nonprofit Organization or Small Business Concern |                  | \$ 2166 |
|              |           |      |   | Total Filing Fee | \$ 2166 |

- DO NOT PUBLISH.** I hereby certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).
- Priority of application no. 60/403,266 filed on August 14, 2002 is claimed under 35 U.S.C. § 119.
- The certified copy of the priority application has been filed in application no. filed on .
- Amend the specification by inserting before the first line the following sentence: This is a continuation-in-part of application no. filed on .

Please charge the required fee to Pennie & Edmonds LLP Deposit Account 16-1150. A copy of this sheet is enclosed.

Respectfully submitted,  
  
 Margaret B. Brivanlou Reg No.  
 PENNIE & EDMONDS LLP

Enclosure

This form is not for use with continuation, divisional, re-issue, design or plant patent applications.

Express Mail No. EV 335 856 560 US

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

|                                    |                                   |
|------------------------------------|-----------------------------------|
| Application of: Koenig et al.      | Confirmation No.: To be Announced |
| Serial No.: To be Announced        | Group Art Unit: To be Announced   |
| Filed: Concurrently herewith       | Examiner: To be Announced         |
| Attorney Docket No.: 11183-010-999 | Date: August 18, 2003             |

For: Fc $\gamma$ RIIB-SPECIFIC ANTIBODIES AND  
METHODS OF USE THEREOF

**STATEMENT REGARDING INTERRUPTION IN POSTAL SERVICE ON**  
**AUGUST 14 AND 15, 2003**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

U.S. Provisional Application No. 60/403,266 filed on August 14, 2002 was to be converted to a United States Non-Provisional application on August 14, 2003. However, due to the power outage in New York (where Applicants' representatives are located) and elsewhere on Thursday, August 14, 2003 and Friday, August 15, 2003, and the inability of post offices in the Northeast and Midwest areas to accept mail during this time, Applicants were unable to file the non-provisional application with the United States Postal Service ("USPS"). A non-provisional application claiming the benefit of priority of U.S. Provisional Application No. 60/403,266 filed on August 14, 2002 would have been filed with the USPTO by Express Mail but for the designated interruption or emergency in "Express Mail" Service. Thus, in view of the USPS service interruption, pursuant to 35 U.S.C. § 21(a) and 37 C.F.R. § 1.6(e), Applicants request that the U.S. non-provisional application in the United States Patent and Trademark Office, which claims the benefit of priority of U.S. Provisional Application No. 60/403,266 filed on August 14, 2003 and is submitted herewith be granted the August 14, 2003 filing date.

*Receipt date granted  
is August 14, 2003.  
Joni Y. Chang  
from OPLA  
accept*

Respectfully submitted,

Date: August 18, 2003

  
Margaret B. Brivanlou 40,922  
**PENNIE & EDMONDS LLP**  
1155 Avenue of the Americas  
New York, N.Y. 10036-2711  
(212) 790-9090  
(Reg. No.)